



RCE \$

PATENT

Attorney Docket No.: 041514-5106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shinichi TAKAHASHI et al.

Application No.: 09/770,673

Filed: January 29, 2001

For: OPTICAL PICKUP APPARATUS AND  
LASER DIODE CHIP

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) Confirmation No.: 5427  
)  
) Group Art Unit: 2828  
)  
) Examiner: Menefee, James A  
)  
) **Mail Stop RCE**  
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Commissioner for Patents  
U.S. Patent and Trademark Office  
**Mail Stop RCE**  
Alexandria, VA 22314

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

1. Submission required under 37 C.F.R. § 1.114

- ☐ Previously submitted:
- ☐ Please consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_ (Any unentered amendment(s) referred to above will be entered).
  - ☐ Please consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

- ☒ Enclosed:
- ☐ Supplemental Reply
  - ☐ Other: Preliminary Amendment Filed with RCE Under 37 C.F.R. § 1.114
  - ☐ Affidavit(s)/Declaration(s)
  - ☒ Information Disclosure Statement
  - ☒ Form 1449 with one document attached
  - ☒ Chinese Official Action (with English-language translation)
  - ☐ Other: \_\_\_\_\_

02/02/2005 MAHMED1 00000049 500310 09770673

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I-WA/2333228.1

## 2. Miscellaneous

☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

☐ Other: \_\_\_\_\_.

## 3. Fees Calculation

(a) RCE Fee required under 37 C.F.R. § 1.17(e)

☒ \$790.00

☐ \$385.00 (for small entity)

(b) Additional Claims

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. § 1.16(c))	5	minus	20	0	x \$50 each =	+ \$ 0.00
Independent Claims (37 C.F.R. § 1.16(b))	2	minus	3	0	x \$200 each =	+ \$ 0.00
[ ] First presentation of Multiple dependent claim(s)					\$360.00	+ \$ 0.00
SUB-TOTAL =						\$ 0.00
Reduction by ½ for filing by a small entity						- \$ 0.00
TOTAL FEE =						\$ 0.00

(c) Extension of Time

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicants have inadvertently overlooked the need for a petition and fee for extension of time.

- ☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below.

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>Fee for Small Entity</u>
<input type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$225.00
<input type="checkbox"/> three months	\$1,020.00	\$510.00
<input type="checkbox"/> four months	\$1,590.00	\$795.00

The extension of time fee for this request: \$\_\_\_\_\_.

If an additional extension of time is required, please consider this a Petition therefor.

4. Fee Payment

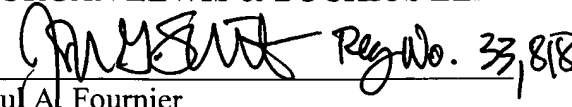
- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge the Deposit Account No. 50-0310 in the amount of **\$790.00** for the RCE filing fee.
- ☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**MORGAN LEWIS & BOCKIUS LLP**

Dated: January 31, 2005

By:

  
Paul A. Fournier  
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**Customer No. 009629**

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